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UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

## ACCESS TO ADVANCED HEALTH INSTITUTE,

Case No. 2:24-CV-01253

Plaintiff,

1

PATRICK SOON-SHIONG, M.D. and CHAN  
SOON-SHIONG FAMILY FOUNDATION.

## Defendants.

**PLAINTIFF'S RESPONSE TO  
DEFENDANT'S NOTICE OF  
EVIDENTIARY OBJECTIONS**

Plaintiff Access to Advanced Health Institute (“AAHI”) hereby submits its response to Plaintiffs’ Notice of Evidentiary Objections, Dkt. No. 36 (“Notice”), which purports to address inconsistencies in the declarations Plaintiff submitted in support of its Reply in support of its Motion for a Temporary Restraining Order (Dkt. Nos. 28-34). Defendants claim that the declarations “could have been presented in AAHI’s moving papers” and are otherwise inadmissible. Notice at p. 2. Defendants’ Notice is a bald attempt to refute Plaintiff’s Reply, Dkt. No. 28, without obtaining leave to file a sur-reply, as required by this Court’s Standing Order, IIA. For this reason alone, the Court should disregard Defendants’ Notice. In the event, however, that the Court decides to consider Defendants’ Notice, Plaintiff offers the following Response.

## ARGUMENT

1 Defendants' Notice is a laundry list of conclusory objections to factual assertions found in  
 2 the declarations submitted by Plaintiff's witnesses. Plaintiff takes the objections in turn below.

3 **Legal Conclusion (FRE 703)**

4 Defendants assert that a number of the factual assertions in the Declarations of Julie  
 5 Cherrington (Dkt. No. 34) ("Cherrington Decl."), Ann D. Kwong (Dkt. No. 32) ("Kwong Decl."),  
 6 Edward Mocarski (Dkt. No. 33) ("Mocarski Decl."), and Candice Decaire (Dkt. No. 29) ("Supp.  
 7 Decaire Decl.") constitute legal conclusions in violation of Federal Rule of Evidence 703:

- 8 • Drs. Cherrington's and Kwong's assertions that they are members of AAHI's Board  
 of Directors, Cherrington Decl. ¶ 2, Kwong ¶ 2;
- 9 • Drs. Cherrington's, Kwong's, and Mocarski's understandings of their duties and  
 responsibilities as AAHI board members, Cherrington Decl. ¶ 3, Kwong Decl. ¶ 3-  
 10 4, Mocarski Decl. ¶ 5;
- 11 • Drs. Cherrington's and Kwong's understanding of the conflict of interest that  
 underlies Plaintiff's allegations in this litigation, Cherrington Decl. ¶ 5, Kwong  
 Decl. ¶ 5;
- 12 • Ms. Decaire's retention of counsel in this matter, Supp. Decaire Decl. ¶ 4;
- 13 • Ms. Decaire's understanding that AAHI lacks a properly constituted Board, Supp.  
 Decaire Decl. ¶ 10;
- 14 • Ms. Decaire's understanding that conflicts of interest have affected Board decision  
 making, Supp. Decaire Decl. ¶¶ 19-20;
- 15 • Dr. Mocarski's understanding that Drs. Cherrington and Kwong accepted their  
 appointments as members of the AAHI Board, Mocarski Decl. ¶ 3; and
- 16 • Dr. Mocarski's understanding that Dr. Cherrington's work in his lab does not create  
 a conflict, Mocarski Decl. ¶ 4.

18 Because Defendants' Notice lacks actual argument, the bases for Defendants'  
 19 objections can only be surmised. Defendants also fail to explain how Federal Rule of  
 20 Evidence 703, which governs expert testimony, applies to the testimony of these fact  
 21 witnesses. Regardless, Defendants seem to argue that Drs. Cherrington and Kwong are  
 22 incapable of having a factual understanding of their own positions as members of AAHI's  
 23 Board and the responsibilities that come with that position. Likewise, Defendants doubt  
 24 the ability of Ms. Decaire, AAHI's General Counsel and Chief Strategy Officer, to retain  
 25 outside counsel and understand whether AAHI has a properly constituted Board  
 26 unhampered by conflicts of interest. Similarly, Defendants call into question Dr.  
 27 Mocarski's qualifications to testify as to whether or not Drs. Cherrington and Kwong

1 accepted their positions as members of AAHI's Board and whether Dr. Cherrington's work  
 2 in his lab created a conflict of interest.

3 To the extent that these are mixed questions of law and fact, there is no law or rule  
 4 preventing fact witnesses from offering factual testimony in such situations. Further, the  
 5 existence of a conflict of interest is a question of fact, not law. *Peterson v. Estelle*, 446 F.2d  
 6 53, 54 (9th Cir. 1971). Defendants fail to show that the statements referenced above are  
 7 inadmissible legal conclusions.

8 **Lack of Foundation (FRE 901)**

9 Defendants object that the following are deficient for lack of foundation:

10 • Drs. Cherrington's and Kwong's assertions that they are members of AAHI's Board of  
 Directors, Cherrington Decl. ¶ 2, Kwong ¶ 2.

11 As above, Defendants do not explain their position that Drs. Cherrington and Kwong lack  
 12 a foundation to testify as to whether or not they themselves are members of AAHI's Board or how  
 13 Rule 901 renders that testimony inadmissible. Neither declarant's testimony is directed at the  
 14 authentication of evidence. Defendants may disagree as to whether the appointment of Drs.  
 15 Cherrington and Kwong was proper, and they may introduce evidence to support their position, if  
 16 any exists, but that has no effect on the admissibility of the doctors' own understandings.

17 **Best Evidence and Incomplete Presentation of a Writing (FRE 1002, 106)**

18 Defendants object that the following are deficient under the best evidence rule and the rule  
 19 requiring complete presentation of a writing:

20 • Drs. Cherrington's and Kwong's assertions that they are members of AAHI's Board of  
 Directors, Cherrington Decl. ¶ 2, Kwong ¶ 2;

21 • Ms. Decaire's understanding that her decisions are supported by a majority of AAHI Board  
 members with no financial stake in their outcomes, Supp. Decaire Decl. ¶ 6; and

22 • Ms. Decaire's and Drs. Casper's and Mocarski's understanding that the AAHI Board voted  
 23 to approve and/or invite Drs. Cherrington and Kwong to join the Board as Directors, Supp.  
 Decaire Decl. ¶ 15, Casper Decl. ¶ 18, Mocarski Decl. ¶ 3.

24 Defendants assert that because Drs. Cherrington's and Kwong's understanding of their own  
 25 status as members of AAHI's Board "conflicts" with self-serving evidence, created by Defendants  
 26 after the fact, that Defendants would like to submit to the contrary, the Cherrington and Kwong  
 27

1 declarations are inadmissible. In support of this objection, Defendants cite the recently-filed  
 2 Supplemental Declaration of Patrick Soon-Shiong, Dkt. No. 35, which attaches as an exhibit a set  
 3 of minutes and resolutions from a purported Board meeting held *yesterday*, August 25, 2024,  
 4 without the participation of Drs. Cherrington, Kwong, Mocarski, or Casper—or Ms. Decaire. The  
 5 notion that minutes from a bogus meeting improperly convened for the purpose of creating  
 6 evidence to support Defendants' improperly filed sur-reply somehow raise an issue under Rules  
 7 1002 or 106 is ludicrous and should be ignored.

8 **Lack of Personal Knowledge (FRE 602)**

9 Defendants object that the following statements lack personal knowledge:

- 10 • Ms. Decaire's understanding that she and Dr. Casper left the June 3 AAHI board meeting  
 believing that progress had been made, Supp. Decaire Decl. ¶ 8;
- 11 • Dr. Casper's understanding that his own actions, as well as Ms. Decaire's and the actions  
 of the independent members of the Board were intended in furtherance of AAHI's mission,  
 Casper Decl. ¶ 14;
- 13 • Ms. Decaire's understanding that conflicts of interest have affected Board decision making,  
 Supp. Decaire Decl. ¶ 19; and
- 14 • Dr. Mocarski's impression that Dr. Soon-Shiong seems intent on orchestrating conflict and  
 financial calamity, Mocarski Decl. ¶ 7 (erroneously cited by Defendants as ¶ 5).

15 Plaintiff acknowledges Defendants' implicit point that Dr. Soon-Shiong is himself best  
 16 positioned to explain what the intentions underlying his actions might possibly be, and looks  
 17 forward to hearing him do so in a courtroom under oath. For now, Dr. Mocarski's observations are  
 18 admissible as evidence of the impression he had of Dr. Soon-Shiong's intentions at the time. FRE  
 19 803(3). As to Ms. Decaire's understanding of the effect of conflicts of interest on Board decision  
 20 making, Defendants offer no basis for the conclusion that, as General Counsel and Chief Strategy  
 21 Officer, she lacks personal knowledge of this matter. The remainder of Defendants' "personal  
 22 knowledge" objections are directed at two occasions on which Ms. Decaire and Dr. Casper testify  
 23 as to what they understood to be a shared belief between the two of them. In each of those cases,  
 24 the Court is more than capable of parsing the testimony regarding the declarant's own belief,  
 25 understanding, or intention from his or her then-existing understanding of the other's. FRE 803(3).

26 **CONCLUSION**

The Court should DISREGARD Defendants' Notice as an improper sur-reply filed without leave. In the event the Court does consider the Notice, Defendants' arguments fail for the reasons explained above. The Court should overrule Defendants' objections and deem the testimony at issue admissible.

Dated August 26, 2024.

Respectfully submitted,

By: */s/ Stephen E. Morrissey*  
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**CERTIFICATE OF SERVICE**

I hereby certify that on this day I caused the foregoing document to be served, via electronic mail, per agreement, on the following:

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*Counsel for Dr. Concepcion and Mr. Hsu, Purportedly in Their Capacity as  
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DATED this 26<sup>th</sup> day of August, 2024.

/s/Stephen E. Morrissey  
Stephen E. Morrissey